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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: **Toshiya SUZUKI et al.**

Group Art Unit: **2822**

Serial No.: **09/765,437**

Examiner: **Maria F. Guerrero**

Filed: **January 22, 2001**

Confirmation No.: **9007**

For: **SEMICONDUCTOR DEVICE HAVING A CAPACITOR WITH RARE  
METAL ELECTRODE**

Attorney Docket Number: **001764**

Customer Number: **38834**

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.97(c)(1)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

November 2, 2004

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO-1449. A copy of each listed document is attached.

The documents are cited in the Notice of Reasons of Rejection mailed October 19, 2004 of the counterpart Japanese patent application in the present application. A copy of the Notice of Reasons of Rejection and the English translation is also attached.

This Information Disclosure Statement (IDS) is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

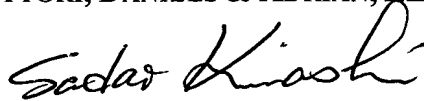
Information Disclosure Statement under 1.97(c)(1)  
Attorney Docket No. 001764  
Serial No. 09/765,437

The undersigned hereby certifies that each item of information contained in the IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

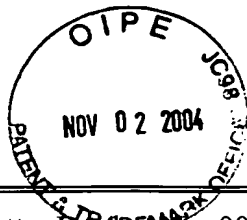
**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**



Sadao Kinashi  
Attorney for Applicants  
Registration No. 48,075  
Telephone: (202) 822-1100  
Facsimile: (202) 822-1111

SK/sg

Enclosures: PTO-1449  
4 References with 4 English abstracts



<b>INFORMATION DISCLOSURE CITATION PTO-1449</b>	Atty. Docket No. 001764	Serial No. 09/765,437
	Applicant(s): Toshiya SUZUKI et al.	
	Filing Date: January 22, 2001	Group Art Unit: 2822

### U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						

### FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation (Yes or No)
	AF	JP 09-266289A	10/1997	Japan	Yes (abstract only)
	AG	JP 10-107218 A	04/1998	Japan	Yes (abstract only)
	AH	JP 2000-183299 A	06/2000	Japan	Yes (abstract only)
	AI	JP 10-173152 A	06/1998	Japan	Yes (abstract only)
	AJ				
	AK				

### OTHER DOCUMENTS

Examiner		Date Considered